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- 52.247-55 F.o.b. Point for Delivery of Government-Furnished Property.
- 52.247-56 Transit Arrangements.
- 52.247-57 Transportation Transit Privilege Credits.
- 52.247-58 Loading, Blocking, and Bracing of Freight Car Shipments.
- 52.247-59 F.o.b. Origin—Carload and Truckload Shipments.
- 52.247-60 Guaranteed Shipping Characteristics.
- 52.247-61 F.o.b. Origin—Minimum Size of Shipments.
- 52.247-62 Specific Quantities Unknown.
- 52.247-63 Preference for U.S.-Flag Air Carriers.
- 52.247-64 Preference for Privately Owned U.S.-Flag Commercial Vessels.
- 52.247-65 F.o.b. Origin, Prepaid Freight—Small Package Shipments.
- 52.247-66 Returnable Cylinders.
- 52.247-67 Submission of Commercial Transportation Bills to the General Services Administration for Audit.
- 52.248-1 Value Engineering.
- 52.248-2 Value Engineering—Architect-Engineer.
- 52.248-3 Value Engineering—Construction.
- 52.249-1 Termination for Convenience of the Government (Fixed-Price) (Short Form).
- 52.249-2 Termination for Convenience of the Government (Fixed-Price).
- 52.249-3 Termination for Convenience of the Government (Dismantling, Demolition, or Removal of Improvements).
- 52.249-4 Termination for Convenience of the Government (Services) (Short Form).
- 52.249-5 Termination for Convenience of the Government (Educational and Other Nonprofit Institutions).
- 52.249-6 Termination (Cost-Reimbursement).
- 52.249-7 Termination (Fixed-Price Architect-Engineer).
- 52.249-8 Default (Fixed-Price Supply and Service).
- 52.249-9 Default (Fixed-Price Research and Development).
- 52.249-10 Default (Fixed-Price Construction).
- 52.249-11 Termination of Work (Consolidated Facilities or Facilities Acquisition).
- 52.249-12 Termination (Personal Services).
- 52.249-13 Failure to Perform.
- 52.249-14 Excusable Delays.
- 52.250-1 Indemnification Under Public Law 85-804.
- 52.251-1 Government Supply Sources.
- 52.251-2 Interagency Fleet Management System Vehicles and Related Services.
- 52.252-1 Solicitation Provisions Incorporated by Reference.
- 52.252-2 Clauses Incorporated by Reference.
- 52.252-3 Alterations in Solicitation.
- 52.252-4 Alterations in Contract.

48 CFR Ch. 1 (10-1-98 Edition)

- 52.252-5 Authorized Deviations in Provisions.
- 52.252-6 Authorized Deviations in Clauses.
- 52.253-1 Computer Generated Forms.

Subpart 52.3—Provision and Clause Matrix

- 52.300 Scope of subpart.
- 52.301 Solicitation provisions and contract clauses (Matrix).

AUTHORITY: 40 U.S.C. 486(c); 10 U.S.C. Chapter 137; and 42 U.S.C. 2473(c).

SOURCE: 48 FR 42478, Sept. 19, 1983, unless otherwise noted.

EDITORIAL NOTE: For a document removing derivation lines wherever they appeared in part 52, see 60 FR 48218, Sept. 18, 1995.

52.000 Scope of part.

This part (a) gives instructions for using provisions and clauses in solicitations and/or contracts, (b) sets forth the solicitation provisions and contract clauses prescribed by this regulation, and (c) presents a matrix listing the FAR provisions and clauses applicable to each principal contract type and/or purpose (e.g., fixed-price supply, cost-reimbursement research and development).

[48 FR 42478, Sept. 19, 1983; 48 FR 43273, Sept. 22, 1983, as amended at 55 FR 3887, Feb. 5, 1990]

Subpart 52.1—Instructions for Using Provisions and Clauses

52.100 Scope of subpart.

This subpart (a) gives instructions for using part 52, including the explanation and use of provision and clause numbers, prescriptions, prefaces, and the matrix; (b) prescribes procedures for incorporating, identifying, and modifying provisions and clauses in solicitations and contracts, and for using alternates; and (c) describes the derivation of FAR provisions and clauses.

[48 FR 42478, Sept. 19, 1983; 48 FR 43273, Sept. 22, 1983, as amended at 55 FR 3887, Feb. 5, 1990]

52.101 Using part 52.

(a) *Definitions.* *Alternate* means a substantive variation of a basic provision or clause prescribed for use in a defined circumstance. It (1) adds wording to, (2) deletes wording from, or (3) substitutes specified wording for a portion of the

basic provision or clause. The alternate version of a provision or clause is the basic provision or clause as changed by the addition, deletion, or substitution (see 52.105(a)).

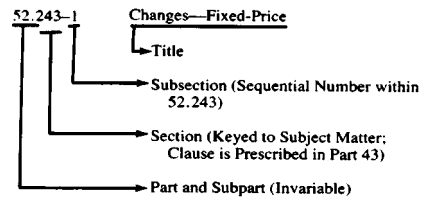
Contract clause or *clause* means a term or condition used in contracts or in both solicitations and contracts, and applying after contract award or both before and after award.

Modification, as used in this subpart, means a minor change in the details of a provision or clause that is specifically authorized by the FAR and does not alter the substance of the provision or clause (see 52.104).

Solicitation provision or *provision* means a term or condition used only in solicitations and applying only before contract award.

Substantially as follows or *substantially the same as*, when used in the prescription and preface of a provision or clause, means that authorization is granted to prepare and utilize a variation of that provision or clause to accommodate requirements that are peculiar to an individual acquisition; *provided*, that the variation includes the salient features of the FAR provision or clause, and is not inconsistent with the intent, principle, and substance of the FAR provision or clause or related coverage of the subject matter.

(b) *Numbering.* (1) *FAR provisions and clauses.* Subpart 52.2 sets forth the texts of all FAR provisions and clauses, each in its own separate subsection. The subpart is arranged by subject matter, in the same order as, and keyed to, the parts of the FAR. Each FAR provision or clause is uniquely identified. All FAR provision and clause numbers begin with “52.2,” since the text of all FAR provisions and clauses appear in subpart 52.2. The next two digits of the provision or clause number correspond to the number of the FAR subject part in which the provision or clause is prescribed. The FAR provision or clause number is then completed by a hyphen and a sequential number assigned within each section of subpart 52.2. The following example illustrates the makeup of the FAR provision or clause number:



(2) *Provisions or clauses that supplement the FAR.*

(i) Provisions or clauses that supplement the FAR are—

(A) Prescribed and included in authorized agency acquisition regulations issued within an agency to satisfy the specific needs of the agency as a whole;

(B) Prescribed and included in a regulation issued by a suborganization of an agency to satisfy the needs of that particular suborganization; or

(C) Developed for use at a suborganizational level of an agency, not meant for repetitive use, but intended to meet the needs of an individual acquisition and, thus, impractical to include in either an agency or suborganization acquisition regulation. (See 1.301(c).)

(ii) Supplemental provisions or clauses published in agency acquisition regulations shall be in full text and the prescription for the use of each shall be included. Supplemental provisions or clauses published in agency acquisition regulations shall be numbered in the same manner in which FAR provisions and clauses are numbered except that—

(A) If it is included in an agency acquisition regulation that is published in the FEDERAL REGISTER and is codified in Title 48, Code of Federal Regulations (48 CFR), the number shall be preceded by the chapter number within 48 CFR assigned by the CFR staff; and

(B) The sequential number shall be “70” or a higher number (see 1.303).

(iii) The sequential number at the end of the number of a provision or clause that supplements the FAR, like its counterpart at the end of any FAR provision or clause number, indicates the subsection location of the provision or clause in subpart 52.2 of the agency acquisition regulation that contains its full text. If, for example, an agency acquisition regulation contains only one provision followed by only one clause supplementing the FAR in its section

52.236 (Construction and Architect-Engineer Contracts), then the sequential numbers would be “70” for the provision and “71” for the clause.

(c) *Prescriptions.* Each provision or clause in subpart 52.2 is prescribed at that place in the FAR text where the subject matter of the provision or clause receives its primary treatment. The prescription includes all conditions, requirements, and instructions for using the provision or clause and its alternates, if any. The provision or clause may be referred to in other FAR locations.

(d) *Prefaces.* Within subpart 52.2, each provision or clause is prefaced with (1) a cross-reference to the location in the FAR subject text that prescribes its use, and (2) directions for inserting it in solicitations and/or contracts.

(e) *Matrix.* (1) The matrix in subpart 52.3 contains a column for each principal type and/or purpose of contract (e.g., fixed-price supply, cost reimbursement research and development). The matrix lists the—

- (i) Required solicitation provisions;
- (ii) Required-when-applicable solicitation provisions;
- (iii) Optional solicitation provisions;
- (iv) Required contract clauses;
- (v) Required-when-applicable contract clauses; and
- (vi) Optional contract clauses.

(2) For each provision or clause listed, the matrix provides information on—

- (i) Whether incorporation by reference is or is not authorized (see 52.102);
- (ii) The section of the Uniform Contract Format (UCF) in which it is to be located, if it is used in an acquisition that is subject to the UCF;
- (iii) Its number;
- (iv) The citation of the FAR text that prescribes its use; and
- (v) Its title.

(3) Since the matrix does not provide sufficient information to determine the applicability of a provision or clause in the “required-when-applicable” and “optional” categories, contracting officers shall refer to the FAR text (cited in the matrix) that prescribes its use.

(4) The FAR matrix may be reproduced at agency levels, and at subordinate levels, for the purpose of

supplementing it with agency-developed provisions and clauses. The resulting consolidated matrices may be included in agency acquisition regulations.

(f) *Dates.* Since they are subject to revision from time to time, all provisions, clauses, and alternates are dated; e.g., (DEC 1983). To avoid questions concerning which version of any provision, clause, or alternate is operative in any given solicitation or contract, its date shall be included whether it is incorporated by reference or in full text.

[48 FR 42478, Sept. 19, 1983; 48 FR 43273, Sept. 22, 1983, as amended at 55 FR 3887, Feb. 5, 1990; 62 FR 40237, July 25, 1997; 62 FR 64927, Dec. 9, 1997]

52.102 Incorporating provisions and clauses.

(a) Provisions and clauses should be incorporated by reference to the maximum practical extent, rather than being incorporated in full text, even if they—

- (1) Are used with one or more alternates or on an optional basis;
- (2) Are prescribed on a “substantially as follows” or “substantially the same as” basis, provided they are used verbatim;
- (3) Require modification or the insertion by the Government of fill-in material (see 52.104); or
- (4) Require completion by the offeror or prospective contractor. This instruction also applies to provisions completed as annual representations and certifications.

(b) Except for provisions and clauses prescribed in 52.107, any provision or clause that can be accessed electronically by the offeror or prospective contractor may be incorporated by reference in solicitations and/or contracts. However, the contracting officer, upon request, shall provide the full text of any provision or clause incorporated by reference.

(c) Agency approved provisions and clauses prescribed in agency acquisition regulations, and provisions and clauses not authorized by subpart 52.3 to be incorporated by reference, need not be incorporated in full text, provided the contracting officer includes